

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
112701-166In Re Application Of: **Ballever et al.**

Serial No.

09/774,814

Filing Date

January 30, 2001

Examiner

Unknown

Group Art Unit

Title:

METHOD FOR MAINTAINING AND IMPROVING THE SYNTHESIS OF MUCINS

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

12701-136

In Re Application Of: **Balleve et al.**

Serial No.

09/774,814

Filing Date

January 30, 2001

Examiner

Unknown

Group Art Unit

1633

Title:

METHOD FOR MAINTAINING AND IMPROVING THE SYNTHESIS OF MUCINS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 4/6/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: **April 6, 2001**

Robert M. Barrett (Reg. No. 30,142)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: (312) 807-4204

CC:



RECEIVED
APR 11 2001
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

APPLICANT: Ballevre et al. DOCKET NO.: 112701-136
SERIAL NO: 09/774,814 ART UNIT: 1653
FILED: January 30, 2001 EXAMINER: Unknown
INVENTION: "METHOD FOR MAINTAINING AND IMPROVING THE
SYNTHESIS OF MUCINS"

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that a citation and examination of the references identified below and on the attached PTO-1449 form, copies of which can be found in the parent application Serial No. 09/498,905, filed February 4, 2000, in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

U.S. PATENTS

<u>Patent Number</u>	<u>Inventor</u>	<u>Publication Date</u>
5,356,873	Mark et al.	October 18, 1994
5,728,678	Trimbo et al.	June 6, 1995
5,780,451	DeMichele et al.	July 14, 1998
5,916,621	Georgi et al.	July 29, 1995

OTHER DOCUMENTS

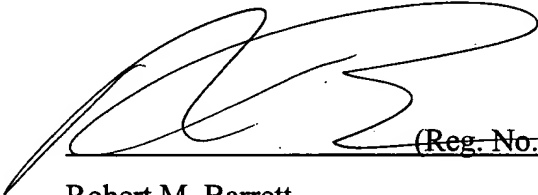
Dwarakanath et al., Accession Number 95402810, Gut, Vol. 37, No. 1, pages 58-62.

Neutra, M.R. et al., Physiology of the Gastrointestinal Tract, Second Ed., Raven Press, NY, pp 975-1009.

Tse, S.L. et al., Infection and Immunity, Vol. 60, No. 4, 1992, pp. 1603-1612.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,



(Reg. No. 30,142)

Robert M. Barrett

BELL, BOYD & LLOYD LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4204

ATTORNEY FOR APPLICANTS